



PATENT

Attorney Docket No. 046700-5004-10

2154 #4 BT  
3-11-03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )

Marc ALAIA et al. )

Application No.: 09/832,437 )

Group Art Unit: 2154

Filed: April 11, 2001 )

Examiner: Unassigned

For: METHOD AND SYSTEM FOR )  
CONTROLLING AN ELECTRONIC )  
AUCTION DURING THE TRANSITION )  
TO A CLOSED STATE )**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.**Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicants cite the following patent and publication, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits.

**CERTIFICATE OF MAILING (37 C.F.R. § 1.8)**

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Group Art Unit 2154, Washington, D.C. 20231.

Name Kim R. Jessum Signature Kim R. Jessum Date of Deposit February 26, 2003

In compliance with 37 C.F.R. § 1.98(a), copies of the patents and other publications set forth below and listed on the attached Form PTO-1449 (1 sheet) are provided.

U.S. Patents

<u>Patent No.</u>	<u>Issue Date</u>	<u>Patentee</u>
6,044,363	March 28, 2000	Mori et al.
6,216,114	April 10, 2001	Alaia et al.
6,285,989	September 4, 2001	Shoham

Other Publications

Fox, "The Calm Way of Buying and Selling Livestock," Sydney Morning Herald, October 10, 1988.

"What You Need to Know to Bid in FCC's Narrowband Auction," Washington Telecom News, June 27, 1994.

Rockoff, et al., "Design of an Internet-Based System for Remote Dutch Auctions," Internet Research: Electronic Networking Applications and Policy, Volume 5 – Number 4, pp. 10-16, 1995.

"SHOPPERSUNIVERSE," August 27, 1996.

Jackson, "FCC Charges for Online Bidding," Government Computer News, January 27, 1997.

"Ingram Micro Launches Real-Time On-Line Auction Site," PR Newswire, February 11, 1998.

"Adauction.com Boosts Revenue With Enhanced Ad \*Auction\* Format; Morning and Afternoon \*Auctions\* and "AutoExtend" Feature Maximize Opportunistic Media Buying on the Web," Business Wire, May 4, 1998.

Woods, "Excite Calls Online Auctions," Newsbytes News Network, June 10, 1998.

"Internet: Excite Going Once, Twice, Three Times to Auction," Network Briefing, June 11, 1998.

Kumar, et. al., "Internet Auctions," Third USENIX Workshop on Electronic Commerce Proceedings, p. 49, August 31-September 3, 1998.

Applicants respectfully request that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Form PTO-1449 (X sheets) with the next official action.

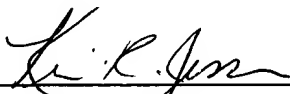
While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. §1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

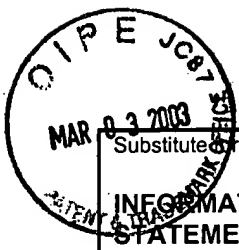
**EXCEPT** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. **50-0310**.

Respectfully submitted,

February 26, 2003  
Date

By:   
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

of

**Complete if Known**

Application Number	09/832,437
Filing Date	April 11, 2001
First Named Inventor	Alaia et al.
Group Art Unit	2154
Examiner Name	Not Yet Assigned
Attorney Docket Number	046700-5004-10

**U.S. PATENT DOCUMENTS**

Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
	6,044,363		Mori et al.	March 28, 2000
	6,216,114		Alaia et al.	April 10, 2001
	6,285,989		Shoham	September 4, 2001

**FOREIGN PATENT DOCUMENTS**

Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sub>1</sub>
	Country Code	Number	Kind Code (if known)			

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Exr Initials	Include Name of Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sub>1</sub>
	Fox, "The Calm Way of Buying and Selling Livestock," Sydney Morning Herald, October 10, 1988.	
	"What You Need to Know to Bid in FCC's Narrowband Auction," Washington Telecom News, June 27, 1994.	
	Rockoff, et al., "Design of an Internet-Based System for Remote Dutch Auctions," Internet Research: Electronic Networking Applications and Policy, Volume 5 - Number 4, pp. 10-16, 1995.	
	"SHOPPERSUNIVERSE", August 27, 1996.	
	Jackson, "FCC Charges for Online Bidding," Government Computer News, January 27, 1997.	
	"Ingram Micro Launches Real-Time On-Line Auction Site," PR Newswire, February 11, 1998.	
	"Adauction.com Boosts Revenue with Enhanced Ad *Auction* Format; Morning and Afternoon *Auctions* and "AutoExtend" Feature Maximize Opportunistic Media Buying on the Web," Business Wire, May 4, 1998.	
	Woods, "Excite Calls Online Auctions," Newsbytes News Network, June 10, 1998.	
	"Internet: Excite Going Once, Twice, Three Times to Auction," Network Briefing, June 11, 1998.	
	Kumar, et al., "Internet Auctions," Third USENIX Workshop on Electronic Commerce Proceedings, p. 49, August 31-September 3, 1998.	

Examiner  
SignatureDate  
Considered